



# KEI Industries Limited



Registered and Corporate Office: D-90, Okhla Industrial Area, Phase-1, New Delhi- 110020 CIN: L74899DL1992PLC051527  
Tel.: +91-11-26818840/8642/0242, Email: [info@kei-ind.com](mailto:info@kei-ind.com) Website: [www.kei-ind.com](http://www.kei-ind.com)

**KEI/BSE/2022-23**  
**The Manager,**  
**BSE Limited**  
**Listing Division,**  
**Phiroze Jeejeebhoy Towers,**  
**Dalal Street, Mumbai- 400 001**

**Date: 18.01.2023**

**Sub: Compliance Certificate under Regulation 3(5) and 3(6) of SEBI (Prohibition of Insider Trading) Regulations, 2015**

Dear Sir / Madam,

Please find attached herewith Compliance Certificate under Regulation 3(5) and 3(6) of SEBI (Prohibition of Insider Trading) Regulations, 2015 for the quarter ended December 31, 2022.

This is for your information and records.

**Thanking You,**  
**Yours faithfully,**

**(KISHORE KUNAL)**  
**AVP (Corporate Finance) & Company Secretary**

**CC:**

<b>The National Stock Exchange of India Ltd.</b> <b>Listing Division, Exchange Plaza, Plot No. C/1,</b> <b>G Block, Bandra Kurla Complex, Bandra (E),</b> <b>Mumbai – 400 051</b>	<b>The Calcutta Stock Exchange Ltd.</b> <b>The Senior Manager, Listing</b> <b>Division, 7, Lyons Range, Kolkata-</b> <b>700001</b>
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Works-I : Bhiwadi : SP-919/920/922, RIICO Industrial Area, Phase-III, Bhiwadi, Dist. Alwar-301019 (Rajasthan); Tel : 01493-220106/221731, Fax: 01493-221780; E-mail: [bhiwadi@kei-ind.com](mailto:bhiwadi@kei-ind.com)  
Works-II : Silvassa : 99/2/7, Madhuban Industrial Estate, Rakholi, Silvassa UT of Dadra & Nagar Haveli and Daman & Diu-396230; Tel: +91-7359344404/7359244404; E-mail: [silvassa@kei-ind.com](mailto:silvassa@kei-ind.com)  
Works-III : Chopanki : A-280-284 RIICO Industrial Area (Chopanki) Dist. Alwar-301019 (Rajasthan); E-mail: [chopanki@kei-ind.com](mailto:chopanki@kei-ind.com)  
Branch : Mumbai : Nirvan Corporate, 7<sup>th</sup> Floor, Opposite Aghadi Nagar, Pump House, Jijamata Road, Andheri East, Mumbai-400093; Tel: 91-22-28239673/28375642; E-mail: [mumbai@kei-ind.com](mailto:mumbai@kei-ind.com)  
Offices : Kolkata : Arihant Benchmark, 4<sup>th</sup> Floor, 113-F, Matheshwartola Road, Kolkata-700046; Tel: 033-40620820/40620821; E-mail: [kolkata@kei-ind.com](mailto:kolkata@kei-ind.com)  
: Chennai : No.04, (Old No.23) SIR C P Ramasamy Road, 2<sup>nd</sup> Floor, Near Apollo Spectra Hospital, Alwarpet, Chennai-600018; Tel : 044-42009120





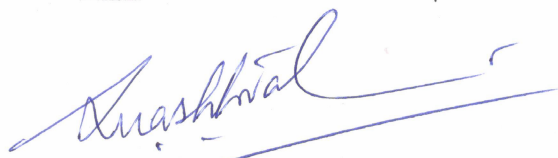
**COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED DECEMBER 31, 2022**  
(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, CS Baldev Singh Kashtwal, Practising Company Secretary (Holding Membership No. FCS 3616 and Certificate of Practice No. 3169) having office at 106, (1<sup>st</sup> Floor), Madhuban Tower, A-1, V. S. Block, Shakarpur Crossing, Delhi-110092 appointed by KEI Industries Limited, ("the Company") bearing CIN L74899DL1992PLC051527 and having its registered office at D-90, Okhla Industrial Area, Phase-I, New Delhi-110 020 (India), have examined the following compliance requirements of Company and I am aware of the compliance requirements of Structured Digital Database (SDD) pursuant to the provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 ("PIT Regulations") and I certify that:-

1. The Company has a Structured Digital Database in place;
2. The control exists as to who can access the SDD;
3. All the UPSI disseminated in the previous quarter have been captured in the Database;
4. The system has captured nature of UPSI along with date and time;
5. The database has been maintained internally and an audit trail is maintained;
6. The database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture one number of events during the quarter ended December 31, 2022 and has captured one number of the said required events.



  
**CS BALDEV SINGH KASHTWAL**  
Practising Company Secretary  
Membership No: FCS 3616  
C.P. No: 3169

**ICSI – UDIN : F003616D003002251**  
**ICSI-Peer Review Certificate No.: 1205/2021**  
**ICSI-Unique Identification No.: I1999DE144000**

**Date : January 18, 2023**  
**Place : Delhi**