



KEI Industries Limited

Registered and Corporate Office: D-90, Okhla Industrial Area, Phase-1, New Delhi- 110020 CIN: L74899DL1992PLC051527
Tel.: +91-11-26818840/8642/0242, Email: info@kei-ind.com Website: www.kei-ind.com

KEI/BSE/2022-23
The Manager,
BSE Limited
Listing Division,
Phiroze Jeejeebhoy Towers,
Dalal Street, Mumbai- 400 001

Date: 17.11.2022

Sub: Compliance Certificate under Regulation 3(5) and 3(6) of SEBI (Prohibition of Insider Trading) Regulations, 2015

Dear Sir / Madam,

Please find attached herewith Compliance Certificate under Regulation 3(5) and 3(6) of SEBI (Prohibition of Insider Trading) Regulations, 2015 for the quarter ended September 30, 2022.

This is for your information and records.

Thanking You,
Yours faithfully,

KISHORE Digitally signed by
KISHORE KUNAL
KUNAL Date: 2022.11.17
14:59:07 +05'30'

(KISHORE KUNAL)
AVP (Corporate Finance) & Company Secretary

CC:

The National Stock Exchange of India Ltd. Listing Division, Exchange Plaza, Plot No. C/1, G Block, Bandra Kurla Complex, Bandra (E), Mumbai – 400 051	The Calcutta Stock Exchange Ltd. The Senior Manager, Listing Division, 7, Lyons Range, Kolkata-700001
--	--

Works-I : Bhiwadi : SP-919/920/922, RIICO Industrial Area, Phase-III, Bhiwadi, Dist. Alwar-301019 (Rajasthan); Tel : 01493-220106/221731, Fax: 01493-221780; E-mail: bhiwadi@kei-ind.com
Works-II : Silvassa : 99/2/7, Madhuban Industrial Estate, Rakholi, Silvassa UT of Dadra & Nagar Haveli and Daman & Diu-396230; Tel: +91-7359344404/7359244404; E-mail: silvassa@kei-ind.com
Works-III : Chopanki : A-280-284 RIICO Industrial Area (Chopanki) Dist. Alwar-301019 (Rajasthan); E-mail: chopanki@kei-ind.com
Branch : Mumbai : Nirvan Corporate, 7th Floor, Opposite Aghadi Nagar, Pump House, Jijamata Road, Andheri East, Mumbai-400093; Tel: 91-22-28239673/28375642; E-mail: mumbai@kei-ind.com
Offices : Kolkata : Arihant Benchmark, 4th Floor, 113-F, Matheshwartola Road, Kolkata-700046; Tel: 033-40620820/40620821; E-mail: kolkata@kei-ind.com
: Chennai : No.04, (Old No.23) SIR C P Ramasamy Road, 2nd Floor, Near Apollo Spectra Hospital, Alwarpet, Chennai-600018; Tel : 044-42009120





BALDEV SINGH KASHTWAL
PRACTISING COMPANY SECRETARY

106, (1st Floor) Madhuban Tower, A-1, V. S. Block,
Shakarpur Crossing, Delhi - 110 092
Phone : 011-22444827, Mobile : 9811297944
E-mail : bskashtwal@yahoo.co.in

COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED SEPTEMBER 30, 2022

(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, CS Baldev Singh Kashtwal, Practising Company Secretary (Holding Membership No. FCS 3616 and Certificate of Practice No. 3169) having office at 106, (1stFloor), Madhuban Tower, A-1, V. S. Block, Shakarpur Crossing, Delhi-110092 appointed by KEI Industries Limited, ("the Company") bearing CIN L74899DL1992PLC051527 and having its registered office at D-90, Okhla Industrial Area, Phase-I, New Delhi-110 020 (India), have examined the following compliance requirements of Company and I am aware of the compliance requirements of Structured Digital Database (SDD) pursuant to the provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 ("PIT Regulations") and I certify that:-

1. The Company has a Structured Digital Database in place;
2. The control exists as to who can access the SDD;
3. All the UPSI disseminated in the previous quarter have been captured in the Database;
4. The system has captured nature of UPSI along with date and time;
5. The database has been maintained internally and an audit trail is maintained;
6. The database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture **one** number of events during the quarter ended September 30, 2022 and has captured **one** number of the said required events.



CS BALDEV SINGH KASHTWAL
Practising Company Secretary
Membership No: FCS 3616
C.P. No: 3169

ICSI – UDIN : F003616D001820750
ICSI-Peer Review Certificate No.: 1205/2021
ICSI-Unique Identification No.: I1999DE144000

Date : November 17, 2022
Place : Delhi